

10/821,501

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: **10/821,501**

Abdalla Aly Naem

Appln. No.: 10/821,501

Filed: April 9, 2004

For: BIPOLAR TRANSISTOR WITH AN ULTRA-
SMALL SELF-ALIGNED POLYSILICON
EMITTER (as amended)

Group Art Unit: 2815

Examiner: Edward J. Wojciechowicz

REQUEST FOR REFUND

Mail Stop 16
Director of the US Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants' attorney printed a monthly statement of Deposit Account dated June 13, 2006, indicating \$30 was debited from account on June 9, 2006, for Fee Code 8001 regarding 10 advanced order soft copies of the patent (copy enclosed). The original Issue Fee paid on July 27, 2005 and received by the U.S.P.T.O. on August 1, 2005 included payment of the Issue Fee in the amount of \$1400 and \$30.00 for 10 advanced order soft copies (copy of Transmittal, Issue Fee Transmittal, Fee Transmittal and copy of check enclosed). As a result, there is no fee for the ten advanced order soft copies reapplied on June 7, 2006. Accordingly, applicant's attorney respectfully requests a refund in the amount of \$30 to be credited to our Deposit Account No. 502305.

Respectfully submitted,

Dated: 6-13-06

By: Mark C. Pickering

Mark C. Pickering
Registration No. 36,239
Attorney for Assignee

BEST AVAILABLE COPY

30 Fifth Street, Suite 200
P.O. Box 300
Petaluma, CA 94953-0300
Direct Dial Telephone No. (707) 762-5583
Telephone: (707) 762-5500
Facsimile: (707) 762-5504
Customer No. 33402

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, postage prepaid, in an envelope, addressed to Mail Stop 16, Commissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313-1450 on 06-13-06

Dated June 13, 2006 By [Signature]

Request for Refund

**United States
Patent and
Trademark Office****COPY**

Return To:

**USPTO
Home
Page
Finance
Online
Shopping
Page****Deposit Account Statement**

Requested Statement Month: June 2006
Deposit Account Number: 502305
Name: LAW OFFICES OF MARK C PICKERING
Attention: MARK C PICKERING
Address: P O BOX 300
City: PETALUMA
State: CA
Zip: 94953
Country: UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
06/09	31	10821501	100-15120 (P04976-P01-D01	8001	\$30.00	\$2,504.00
		START	SUM OF	SUM OF	END	
		BALANCE	CHARGES	REPLENISH	BALANCE	
		\$2,534.00	\$30.00	\$.00	\$2,504.00	

[Need Help?](#) | [USPTO Home Page](#) | [Finance Online Shopping Page](#)

BEST AVAILABLE COPY

COPY

PTO/SB/21 (08-03)

Approved for use through 07/31/06. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/821,501
		Filing Date	April 9, 2004
		First Named Inventor	Abdalla Aly Naem
		Group Art Unit	2815
		Examiner Name	Edward Joseph Wojciechowicz
Total Number of Pages in This Submission	6	Attorney Docket Number	100-15120 (P04976-P01-D01)

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Fee Attached (check for \$1430) <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final (Response) <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard Certificate of Mailing Issue Fee Transmittal PTOL-85 Part B (copy)
Remarks	Please charge any necessary fees or credit overpayment to Deposit Account No. 502305. <u>A duplicate copy of this transmittal is attached for this purpose.</u>	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Mark C. Pickering, Reg. No. 36,239
Signature	<i>Mark C. Pickering</i>
Date	July 27, 2005

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: July 27, 2005			
Typed or printed name	Robin L. King		
Signature	<i>Robin L. King</i>	Date	July 27, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

BEST AVAILABLE COPY

Complete and send this form, together with

applicable fee(s), to: Mail

Mail Stop ISSI
Commissioner
P.O. Box 1450
Alexandria, Virginia 22313-1450
(703) 746-4000

COPY

or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

33402

7590

06/15/2005

LAW OFFICES OF MARK C. PICKERING
P.O. BOX 300
PETALUMA, CA 94953

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Robin L. King (Depositor's name)
Julia L. King (Signature)
July 27, 2005 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/821,501	04/09/2004	Abdalla Aly Naem	100-15120 (P04976-P01-D01)	1418

TITLE OF INVENTION: BIPOLAR TRANSISTOR WITH AN ULTRA SMALL SELF-ALIGNED POLYSILICON EMITTER

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	09/15/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
WOJCIECHOWICZ, EDWARD JOSEPH	2815	257-565000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Mark C. Pickering

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

NATIONAL SEMICONDUCTOR CORPORATION

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

SANTA CLARA, CALIFORNIA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee

☐ Publication Fee (No small entity discount permitted)

☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

☒ A check in the amount of the fee(s) is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 502305 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Mark C. Pickering

Date

7-27-05

Typed or printed name

Mark C. Pickering

Registration No. 36,239

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

BEST AVAILABLE COPY

COPY

FEE TRANSMITTAL

For FY 2005

Patent Fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$1430

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge any fees or credit any overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 502305

LAW OFFICES OF MARK C. PICKERING

☐ Applicant claims small entity status. See 37 CFR 1.27.

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. FILING FEE/SEARCH FEE/EXAMINATION FEE

LARGE ENTITY		SMALL ENTITY			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Description	Fee Paid
1011/1111/1311	1000	2011/2111/2311	500	Utility	
1012/1112/1312	430	2012/2112/2312	215	Design	
1013/1113/1313	660	2013/2113/2313	330	Plant	
1014/1114/1314	1400	2014/2114/2314	700	Reissue	
1005	200	2005	100	Provisional	
SUBTOTAL (1)					0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims	* - 20 **	= 0	x 50	= \$ 0
Independent	* - 3	= 0	x 200	= \$ 0
Multiple Dep.				= \$ 0

** or number previously paid, if greater; for Reissues, see below:

Large Entity		Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	50	2202	25	Claim in excess of 20
1201	200	2201	100	Independent claims in excess of 3
1203	360	2203	180	Multiple dependent claim, if not paid
1204	200	2204	100	** Reissue ind. claims over original patent
1205	50	2205	25	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$0

SUBMITTED BY

Law Offices of Mark C. Pickering
P.O. Box 300
Petaluma, CA 94953-0300
Telephone: (707) 762-5583
Facsimile: (707) 762-5504
Customer No. 33402

Complete if Known	
Application Number	10/821,501
Filing Date	April 9, 2004
First Named Inventor	Abdalla Aly Naem
Examiner Name	Edward Joseph Wojciechowicz
Group Art Unit	2815
Attorney Document No.	100-15120 (P04876-P01-D01)

FEE CALCULATION (continued)

3. Additional Fees

Large Entity Small Entity

Code	Fee			
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2520	1812	2520	For filing a request for ex parte reexamination
1804	920	1804	920	Requesting publication of SIR prior to Examiner action
1805	1840	1805	1840	Requesting publication of SIR after Examiner action
1251	120	2251	60	Extension for reply within first month
1252	450	2252	225	Extension for reply within second month
1253	1020	2253	510	Extension for reply within third month
1254	1590	2254	795	Extension for reply within fourth month
1255	2160	2255	1080	Extension for reply within fifth month
1401	500	2401	250	Notice of Appeal
1402	500	2402	250	Filing a brief in support of an appeal
1403	1000	2403	500	Request for oral hearing
1451	1510	1451	1510	Petition to institute a public use proceeding
1452	500	2452	250	Petition to revive-unavoidable
1453	1500	2453	750	Petition to revive-unintentional
1501	1400	2501	700	Utility issue fee (or reissue)
1502	800	2502	400	Design issue fee
1503	1100	2503	550	Plant issue fee
1460	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))
1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))
1801	790	2801	395	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application

Other: 10 Soft Copies

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3)**

30

\$1400

Date:

By:

Mark C. Pickering, Reg. No. 36/239

BEST AVAILABLE COPY

2092

LAW OFFICES OF MARK C. PICKERING

P.O. BOX 300
PETALUMA, CA 94953

WELLS FARGO BANK, N.A.
11-4288-1210

07/27/2005

PAY TO THE
ORDER OF
Director of the U.S.P.T.O.

**1,430.00

One Thousand Four Hundred Thirty and 00/100

Director of the U.S.P.T.O.

DOLLARS

MEMO

100-15120 (Issue Fee/Soft Copies)

Robert L. King

002092 12101288203559269

00000000000000000000

Security Features Included
Details on Back

06-7-2005

BEST AVAILABLE COPY

COPY

PATENT AND TRADEMARK OFFICE
FOR CREDIT TO THE
U.S. TREASURY
08-02-2005
12-19-2001

COURSE HERE

0312007304
HADDONIA, VA 22671-1104
PHILA, PA 09942883 1500

80/05/05 LA-CA
12288661 14
12281 544
FEDERAL BUREAU OF INVESTIGATION
U.S. DEPARTMENT OF JUSTICE
122105278
FBI LA CA 80052005
FBI LA CA 80052005
FBI LA CA 80052005